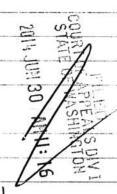
70069-3

10069-3

WASHINGTON STATE

COURT OF APPEALS

DIVISION ONE



State of Washington

Appellee

Appellee

Statement of Additional

Case # 70069-3-

Jojo Ejonga-Deogracias Appellant

Grounds Pursuant to RAP Rule 10.10

Comes now, the appelant, Jojo Ejonga in proper person, here in after collectively referred to as the appellant, and hereby submits his Statement of Additional Grounds, pursuant to Washington State Court Rules, Rules of Appellant Procedure (RAP) Rule 10.10.

Name (5,00,GA-7070-0)

Signed

ETINGA

(A) STATUS of APPELLANT

Below are the Additional Grounds for Review that Mr. Ejonga would like to address to this honorable court. It is understood that this court will review this statement of additional grounds when this appeal is considered on merit.

(B) ASSIGNMENT OF ERROR

(1) Mr. Ejonga was prejudiced and violated by the State putting forth an expert witness who used a Structured Interview of Reported Symptoms (SIRS) test that was not designed for African population. This test did not take into account Mr. Ejonga's African upbringing which makes the testimony and opinion of the State's Expert Witness invalid and misleading to the jury.

(2) Mr. Ejonga's trial counsel failed to call, as a witness, the jail psychiatrist that was treating

Mr. Elonga.

(C) RELEVANT FACTS

(1) Mr. Ejonga was found guilty on January 29, 2013 by jury trial. The charges were three counts of attempted murder in the first degree and three counts of assult in the first degree. Prior to trial, the defendants original charge was three counts of assult in the first degree. Trial date was set for 11-14-12 but the defense counsel asked for a continuance. The trial date was set at 12-5-12 (See Exibit(1)).

Omnibus was set for 11-30-12. During omnibus the

State moved to amend the charges to three counts of assult 25 degree and three counts of attempted murder 1st degree. The defense counse lobjected to the amendment but Judge Olshi granted the States motion (See Exibit(a)), (2) Before trial Mr. Ejonga was evaluated by the States expert witness, Dr. McClung (the States Forensic Psychiatrist). Dr. McClung testified, in trial, to his opinion that Mr. Ejonga was malingering. Dr. McClung's diagnosis was different from the defendant's expert witness, Dr. Jarome Kroll, who testified and diagnosed Mr. Ejonga with PTSD, delusional disorder with delusional mood and mood disorder, secondary to travmatic brain injury. (See Exibit (3) pages 1+05 of the trial transcript. Dr. McClung did acknowledge "probable PTSD", Dr. McClung's conclusion was based in part on a testadministered to Mr. Ejonga called the Structured Interview of Reported Symptoms (SIRS).

(3) Upon Mr. Ejonga's arrival at the jail he mentioned being suicidal and having a history of panic attacks. He was not on any medication. (See RP 1/23 at 102-) Exibit #50 Three days later, the jail staff was put on alert that Mr. Ejonga was found in his cell, not moving and unresponsive to moderate pain Cues. His speech was unclear and slow. EXIST. 55 (See RP 23 at 104) Finally, since his arrest,

Mr. Ejonga has displayed symptoms of mental

illness in the jail. The jail health service record indicates that Mr. Ejonga's active problem includes PTSD, Delusional Disorder, and Mood Disorder. (See Page 3 of Exibit (3), also See Exibit (6) (a) RP /23 at # 1:12 and Page 21 - Exibit(4)

the Defense psychiactric examination by Dr. Jarome Kroll (section3)). Mr. Ejonga was being treated by the jail psychiatrist, Barbra Krsyzek ARPN. She diagnosed Mr. Ejonga on Dec. 1, 2011.

(4) Mr. Ejonga was prejudiced and denied his right to a fair trial when State's witness put forth opinions derived from a SIRS test that was not normed for people from African Population.

(D) GROUNDS FOR RELIEF

(1) Trial counsel was ineffective and her performance and representation was deficient by not calling the jail psychiatrist who treated Mr. Ejonga for two years. She knew Mr. Ejonga's mental illness better than Dr. Kroll or Dr. McClung. Her testimony could have been important and usefull to establish that Mr. Ejonga was not malingering his symptoms. This violates the defendant's right to have compulsory process for obtaining witnesses in his favor under the 6th Amendment of the Constitution of the United States.

(2) Mr. Ejonga was prejudiced and denied his right to a fair trial due to the fact that the State's expert witness, Dr. McClung, used a SIRS test that was not normed and used in the African Population, especially places like the Democratic Republic of the Congo, where Mr. Ejonga was raised. Also, by him not using the most up-to-date version of the test, which Dr. McClung admitted to. The up-to-date version was published in 2010. The test used on Mr. Ejonga was published back in 1992.

ARGUMENTS

(1) Mr. Ejonga was prejudiced and denied his right to a fair trial guaranteed by the 5th & 14th Amendment when the States expert witness, Dr. McClung, "used a test" called a SIRS test that was not normed for African Population. Also, by him not using the most updated version of the test which was published in 2010. Instead he used the 1992 version of it, even though Judge Olshi instructed Dr. McClung to not mention, to the Jury, about the test Lit allowed Dr. McClung to rely about the test but allowed Dr. McClung to rely on and base his conclusion on it, but just not mention to the Jury about it. The Jury could have seen that the State's report was invalid and could not be relied on because it was not normed for or to be administered to African Population, such as Mr. Ejonga. Intrial, during the telephone interview with Dr. Kroll, the defense expert witness, specifically said the fest was not administered correctly. Therefore, it is not valid and should not be used either in a court of law, or even in a regular clinical assessment. (See RP 12/20 at 7-14 Exibit 6) If a test is invalid or not normed for African people then why is it being used, eventhough the Judge instructed not to mention it to the Jury. Using an invalid, out-of-date test to make a determination about Mr. Ejonga's mental state obviously makes the conclusion of the examination invalid and inappropriate to use. Even though the test was "not mentioned" to the Jury it was used to determine a decision about Mr. Ejonga's guiltyness. This is prejudicial and violates the defendant's right to a fair trial. (See Exibit 6 RP 13/20 at 7 line 12 to 25) see - EXISIT 460 FOR MORE INFORMATION about SIRS TEST that Trial counsel Argues Inher trial memo Page 7 (MOTION TO EXCLUDE EVIDENCE OF the SIRS TEST) 505 17

This follows the same line of reasoning as to why a lie detector test is inadmissable in court. If an officer of the law bases his determination of guiltyness off a test that is inadmissable then the testimony of the officer is no longer admissable. The same thing is happening here. Dr. McClung based his evaluation on an invalid test. Notwithstanding, the court ordered Dr. McClung to not mention to the Jury about the test. See RP 12/20 page 5-14 Exibi4 b) This prejudiced the defendant and violated his right to a fair trial. Dr. Mc (lung agreed that the SIRS test was not normed for African Population. Dr. Kroll, during cross-examination stated that the test was misleading. (See Exibit 8 RP 12/20 at 19 line 13 to 16) Dr. Kroll repeatedly states
that the test is invalid. (See Exibit 9 RP 12/20
at 20 line 14 to 23) Dr. Kroll states, "If he's designing
it get at malingering, the fest is not valid. First of all Dr. McClung's Evaluation result on Mr. Ejonga was that Mr. Ejonga was malingering, and he based part of his conclution on the SIRS test." Dr. Kroll wrote to the person who designed the SIRS test asking if the test was validated or translated to African languages. He wrote back and said, NO, it has not been. (See RP 12/20 at 22) Mr. Ejonga is African and just moved to the U.S. in 2010. The test is invalid, therefore, the evaluation is invalid. This shows that the Jury was faced with an invalid testimony. Dr. McClung's evaluation mislead the Jury, in turn, violating and depriving the defendant of his right to a fair trial. Dr. McClung's testimony was prejudicial. For these reasons Mr. Ejonga is entifled to a new trial. Misleading testimony

by a States Expert Witness can not be con-cidered harmless error. Such action was prejudicial and harmfull to Mr. Ejonga. (2) Mr. Ejonga was prejudiced by trial counsel not calling the jail psychiatrist to testify for Mr. Ejonga. Based on the fact that Mr. Ejonga was under her care for more than a year and a half. She Knows Mr. Ejonga's mental illness better than both State and Defense. Her testimony could have been very important and there was a reasonand the result of the trial could have been different. Her testimony could have also been used to support Dr. Kroll's testimony. The Jury would have seen two testimonies supporting each other and, reosonably, the outcome of the trial would have been different. Dr. McClung only interviewed Mr. Ejonga for five hours. Mr. Ejonga had been under the care of Ms. Barbra Krzyzek for a year and a half and had been on medication that was making him feel much better. Ms. Krzyzek's testimony would have more weight than Dr. McClung's, His five hour interview was no comparison to the jail psychiatrist's report. This is like comparing 5 hrs. to 500 hrs. of counseling, evaluation, and monthly check-ups. Her testimony would have been accepted by the Jury, more than both Dr. Kroll's and Dr. McClong's testimony and evaluation. Not having her testimony prejudiced Mr. Ejonga. If Mr. Ejonga was malingering his symptoms then, surely, the jail psychiatrist would not have been treating him with medication. Trial counsel's decision to not call Ms. Krzyzek was not a legitimate trial strategy and constitutes insefficiency of counsel, prejudicing Mr. Ejonga.

ORIGINAL-COPY

The defense counsel's performance fell below an objective standard of reasonable ness and can't be characterized as a legitimate trial strategy. That prejudiced Mr. Ejonga and also deprived him of his constitutional, computsory right to have a witness or witness es for his defense. This was not a harmless oversight. To hear further testimony given by the jail psychiatrist, the Jury may have entertained reasonable doubt and found the defendant not guilty. This would have also satisfied the defendant's constitutional right under the 6th Amendment to compulsory process for obtaining witnesses on his behalf. Also satisfying the defendants right to effective counsel.

LCONCLUSION and AUTHORITY!

(1) Regarding the SIRS test, U.S. V. Johnson - 968 F.da 768 (8th (ir)). Just one single
"misstep" on the part of the government or
prosecution may be so destructive to the deffendant's right to a fair trial that dismissal
is required. In this case, the Jury was mislead
by the State (government) psychiatrist's opinion
and evaluation, not knowing that the source
of the evaluation was invalid. Based on the
fact that the SIRS test was not normed or
administered and validated to African Population
like Mr. Ejonga. So this is not just a misstep
or harmless error but a violation of the
defendant's right to a fair trial under the 14th
amendment. The misleading of the Jury by the
State psychiatrist is chargeable to the prosecutor.

Statement Of Additional Grounds pursuant to RAP 10.10 PART -2

1. Ground No. 1 FINAL ARGUMENT & LEGAL AUTHORITY

Mr. Ejonga was denied a fair trial when trial court admitted expert testimony.

A. States Expert Witness Rolled On Invalid Criteria

- I. The decision to admit expert testimony is within discretion of the trial court. State v. Swan, 114 Wn, 2d 613, 655, 790 P.2d 610 (1990), cert. denied, III S.Ct., 752 (1991). Expert testimony will be admitted when: (1) the witness qualities as an expert, (2) the opinion is based upon an explanatory theory generally accepted in the scientific community, and (3) the expert testimony would be helpful to the trier of fact. "Iwan, at 655 (quoting State v. Allery, 101 Wn, 24 591, 596, 682 P.2d 312 (1984).
- 2. When conducting his psychological evaluation of Jo Jo Ejonga States expert witness Dr. McClung used the 1992 outdated version of the SIR-S-Ze psychological battery test instead of the updated version of the SIRS-2 test published in 2010, See exhi-

- bit # 11 pg. 39 lines 24 and 25. It is generally accepted in scientific community and affirmed by both States expert witness Dr. Mc Clung and defense expert witness Dr. Kroll, that the 1992 xersion of The SIRS-& psychological test is not adequate for forming a valid psychological evaluation for an African person traumatized by the atrocities committed by soldiers in the Congo conflict. and he mired the Jury and made them lieve a Testinony that It found a Tion was laid by a test that was likely 3. When cross examined by defense counsel Juanita Holmes See pg 40 lines 1-6 exhibit #11: Dr. Mc Clung admitted he refused to produce the guidlines for the -quest. See Exhibit # 12, pg 43, lines 10-19. Defense counsel was able to obtain the inference for McClungs re--ticence to provide the legitimate discovery request. Dr. Kroll expert witness for the defense testified that according to his research in Dr. Rogers book on malingering. The standard was intended to be ad--ministered as written. Sec Exist 13 pages 48 line 20 to Page 49 line 20 EXIST #13 A. Conclusions such as Dr. Mc Clungs, the States ex--pert witness reached as malingering by the defendant are bias on the part of the evaluator. See Exhibit # 13 pg. 48,49, from line 20 to end. The trial Court agreed. Dee Exhibit # 14, pg 56,60, lines 7-25; line 1: the

* 100F 17

49	
¿ Por Can	States evaluation was not valid due to the defendants African Congo conflict trauma background "evaluated from the outdated version of the SIRS- lest. Dr. McClung administered to him. not only was it not upsated version but also It wasn't normed or ammistered or Valide B. States Expert Witness Was Not Qualified to Testify
270.00NC	1. Practical experience is sufficient to qualify a witness to testify as an expert. State v. Smith, 88 Mln. 2d 639, 647, 564 P.2d 1154 (1977). Dr. Mc Clung never at -tempted to Claim he had ever treated an African war survivor. Given the atrocities unique to Rewarda or the blood diamond conflicts in Sierra Leone, Where Children arow up evitnessing decapitations and disembour ments. Mc Clungs inex plicable insistence to test Mr. Ejonga by the out dated 1992 version of the SIRS- fest, when the correct up dated 2010 version of the test which provided the correct standard by which to evaluate Mr. Ejonga's mental state was available pre-cludes him as an expert witness.
	z. Mr. Ejonga argues Dr. Mc Clungs testimony was im properly ad mitted into evidence against him under the Standard Set forth by Frye v. United States, 293 F. 1013, 34 A.L.R. 145 CD. C. Cir. 1923). Testimony based on scientific experimental procedures is admissible

2 18 mp /2

only if the back ground theory or principle has achieved general acceptance in the scientific community, State v. Martin, 101 Wn. 2d 713, 719, 684
P.2d cosi (1984) (citing Frye at 1014). Dr. Mc Clung offered no testimony to suggest using the outdated 1992 version of the SIRS-& test was inconsequential to using the up-dated 2010 version of the SIRS-2 test.

3. Unlike State v. Ortiz, 119 Wn. 2d 294, 831 P2d 1060 where the States expert witness testimony did not involve new methods of proof or new scientific principles from which conclusions are drawn need not be subjected to the Frye test. State v. Young, 62 Wn. App. 895, 906, 802 P.2d 829, 817 P2d 412 (1991). Dr. Mc Clung had no practical experience for evaluating Congo conflict survivors, nor did he have any acquired knowledge of Mr. Ejonga's post trumatic stress disorder susceptibility to being raised as a child in an environment of constant atrocities.

African

4. The trial court abused it's discretion when it allowed Dr. McClungs testimony to go to the jury. As pects within the courts discretion elucidated in State v. Swan, 114 Wln. 2d 613, 655, 720 P.2d 610 (1990) that governed when expert testimony will be admitted were not present in Mr. Ejonga's trial. Dr. McClung was not qualified to opine on African war forn refugees; his opinion was not

based upon an explanatory theory generally accepted in the scientific community because he inexplicably used the out dated version of the SIRS- test when the updated 2010 version was available to him and his testimony as opposed to being helpful to the triers of fact thoroughly confused them as to a legible state of Mr. Ejunga's mental capacities for PTSD of the time of the crime. See Swan, at 455 (quoting State v. Allery, 101 Mn. 2d 591, 596, 682 P.2d 312 (1984)).

II Evidentiary Rules

A. Interpretation Of An Evidentiary Rule Is a Question of Law Which a Reviewing Court Reviews De novo.

Rule # 602.10 in procedure to lay a foundation that Dr. McClung's testimony would be based on personal Knowledge. State v. Le Fever, 102 Wn. 2d 777, 690 P.2d 574 (1984). By taking to object teleme counsel with the Clungs lack of personal Knowledge, acknowledged by the trial court: Dee Exhibit #14, pg. 59,60 lines 7-25; line 1.0560 Judge Patrick Oishi agreed with the defense it was im-

\$ 130F 17

- proper for Dr. McClung to offer his evaluation of Mr. Ejonga based upon the out dated 1992 version of the SIRS-A psychological test battery. & the Test wasn't mes-organinistères and validates for African Polvlation 2. On Cross examination; a witness lack of first hand Knowledge testimony lacking a first hand knowledge may be stricken. International Lumber Export Co. V Furuya Co., 121 Wn. 350, 290 P. 858 (1922). Evidentiary Rule# 602,1 (herein E.B.) probibits witness festimony unless foundation is sufficient to support a finding of personal Knowledge. Dr. McClung had no personal Knowledge nor experience with Congo war refugee victims. The sufficien--cy of foundation is a preliminary question for the court alone to decide pursuant to ER 104; Lee SS 104.3 to 104.5. Infact he has No knolosof or experience of africon Refugee Victims. 3. A witness may not festify to a matter unless evidence is introduced sufficient to support a matter. ER 602, 1 holds: that a witness who testifies to a fact which can be per--cieved by the senses must've had an opportunity to observe the fact and actually observed it. Hollings worth V. Washington Mut. Sav. Bank, 37 Win. App. 386, 681 P.2d 845 (1984), Mr. Ejonga contends that the courts com--plete disregard for ER's +602,10; 602,1 and 404(b) was an abuse of it's discretion. State vi. Fox haven, 141 Win. 2d 168, 163 P.3d 786; State De Vicentis, 150 Wn. 2d

(5 or 17.

(1) For this reason, Mr. Ejonga is entitled to a new trial, if not dismissal.

(2) Trial Counsel's failure to call a compulsary witness on behalf of the defense constitutes insufficiency of counsel and illegitimate trial strategy.

PRAYER FOR RELIEF

Mr. Ejonga comes before this honorable court pleading his case on appeal. Based on the grounds presented Mr. Ejonga pleads to this honorable court to reverse his conviction and order him a new trial.

I, JoJo Ejonga-Deogracias, the appellant, declare under the penalty of pejury that all the above and foregoing is true and correct and accurate. Signed and executed this 2 rd day of June, 2014. Under penalty of perjury, persuant to RCW 9A.72.085, and 28 U.S.C. \$ 1746.

Signed: JoJo Ejonga Deogracias
Appellant in Proper Person
Doc#366372 Fox-E-233
Washington State Penitentiary
1313 13th Ave. N.
Walla Walla Wa. 99362-8817

27-Im-24

PURSUANT TO WASHINGTON COURT RULE, GENERAL RULE 3.1(a)(b)(c), I the UNDERSIGNED HERE BY DECLARE HND CERTIFY THAT, I HAVE PLACED A DEFENDANT OR APPELLANT PRO-SE BRIEF (STATEMENT OF ADDITIONAL GROUNDS 10.10) REGARD NG THE CASE # 70069-3-1 COURT OF APPEALS DIVISION 1 INTO - SEALED ENVELOPS WITH FIRST CLASS PREPAID POSTAGE AFFIXE THERETO, HAVING TURNED OVER TO A CORRECTIONAL OFFICER OR STAFF (COUNSELOR ME MARC-HILL) EMPLOYED BY DOC FOR OF LOGGING AS LEGAL MAIL-TO-THE COURT OF APPEALS OF HE STATE OF WASHINGTON DIVISION 1 ONE UNION SQUARE GOO	Proof OF SERVICE
3.1(a) (b) (c), T the UNDERSIGNED HERE BY DECIARED NO CERTIFY THAT, I HAVE PLACED A DEFENDANT OR APPRILIANT PLOSE BRIEF STATEMENT OF ADDITIONAL GROWNDS 10.10 YEARS DECIARED FOR APPRILIANT OF ADDITIONAL GROWNDS 10.10 YEARS DESTRUCK AFFIXE SCALED FOR APPRICE AFFIXE AFFIXE WITH FIRST CLASS PREPAID POSTAGE AFFIXE THELETS, HAVING TURNED OVER TO A CORRECTIONAL OFFICER OR STAFF (CONSEIOR ME MARCHILLY EMPLOYED BY DOC FOR DOS TO FOR A STAFF (CONSEIOR ME MARCHILLY EMPLOYED BY DOC FOR DOS TO FOR A STAFF OF MAPPINETON DIVISION A CIRCUMISM SOURCE COOMINIVERSITY STREET SEATTLE WA 98 01 - 4170. AND TO APPRILIANT ATTORNEY ME MATCH HARRISON AT HARRISON LAW FILM (OL-WARREN ALLE AND FOR SEATTLE WA 98 04 AND TO APPRILIANT OUSE SIGNEY PROS LAPP UNIT SUPERVISOR -W STY KING COUNTY COUNTY COUNTY OUSE SIGNEY AND TO PROSECUTIONS ATTY COUNTY OUSE. SIGNEY AND TO PROSECUTIONS ATTY COUNTY OUSE. SIGNEY AND TO PRODUCE SEATTLE, WA 98 04 ALL DOCUMENT SEATTLE WAS 98 04 ALL DOCUMENT	
END CERTIFY THAT, I HAVE PLACED A DEFENDANT OF APPELLANT 20-SE BRIEF STATEMENT OF ADDITIONAL GROWNDS 10.10 / REGARD NG THE CASE # 70069-3-1 COURT OF APPEALS DIVISIONAL INTO SEARCH END FOSTAGE AFFIXED THE CASE # 70069-3-1 COURT OF APPEALS DIVISIONAL OFFICER THERETO, HAVING TURNED OVER TO A CORRECTIONAL OFFICER OF STAFF (COUNSEIOR MY MARC - HILL FEMPLOYED BY DOC FOR DOF LOGGING AS LEGAL MAIL-TO- THE COURT OF APPEALS OF THE STATE OF WATHINGTON DIVISIONAL ONE UNION SQUARE GOOD INTURSTRY STREET SEATTLE WA 98 101-4170. AND TO APPELLANT OF ATTORNEY MY. MITCH-HARRISON AT HARRISON LAW FUND (OLINARSEN ALLE - W. SEATTLE - WA 98 109-4978 AND TO PROSECUTING ATTY KING COUNTY PROSECUTING ATTY KING COUNTY COURT OUSE 516 THEM AVENUE SEATTLE, WA 98 104. ALL DOCUMENT SEATTLE OF JUNE 2014 DIVIDED TO SEATTLE - WA 98 09 TUNE 2014 DIVIDED TO SOME OF THE 2014 DIVIDED TO SEATTLE WAS 98 104. ALL DOCUMENT SEATTLE WAS 98 104. ALL DOCUM	
PRO-SE BRIEF STATEMENT OF ADDITIONAL GROUNDS 10.10 PREGARD NE THE CASE ## 70069-3-1 COURT OF APPEALS DIVISIONAL INTO SEALED ENVELOPS WITH FIRST CLASS PREPAID POSTAGE AFFIXE THELETS, HAVING TULNED OVER TO A CORRECTIONAL OFFICER PRE STATE (COUNSEIOR ME MARK-HILL) EMPLOYED BY DOC FOR POF LOGGING AS LEGAL MAIL-TO- THE COURT OF APPEALS OF HE STATE OF WASHINGTON DIVISIONAL ORE UNION SQUARE GOO INTURESTITY STREET SEATTLE WA 98101-4170. AND TO APPELLAN AUE-A. SEATTLE-WA 98109-4928 AND TO APPELLAN AUE-A. SEATTLE-WA 98109-4928 AND TO RESECUTING ATTY KING COUNTY PROSTATE WA 98109-4928 AND TO RESECUTING ATTY KING COUNTY PROSTATE WA 98109-4928 AND TO RESECUTING ATTY KING COUNTY PROSTATE WAS 98104. ALL DOCUMENT SENT 95 (EGAL MAIL ON 23 day of June 2014 DOLD ETWIGH. DITTO THE COURT OF TH	
NG THE CASE ## 70069-3-1 COUCH OF APPEALS DIVISIONAL INTO SEALED ENVELOPS WITH FIRST CLASS PREPAID POSTAGE ASSISE THERETO, HAVING TURNED OVER TO A CORRECTIONAL OFFICER TRESTAFF (CONNSEIOR MT MARC-HILLY EMPLOYED BY DOC FOR PLE STATE OF MASHINGTON DIVISIONAL ONE UNION SQUARE GOO INIVERSITY STREET SEATTLE WA- 98 101- 4170. AND TO APPELLANT ATTORNEY MI- MIRCH-HARRISON AT HARRISON LAW FILM IOL-WARREN ALVE-A. SEATTLE-WA 98109-4928 AND TO PROSECUTIONS ATTY KING COUNTY PROS PAPP UNIT SUPERVISOR-W SSY KING COUNTY COURT- OUSE 516 THIRD AVENUE SEATTLE, WA, 98104. ALL DOCUMENT SENT AS LEGAL MAIL ON 28 day of June 2014 DIVIDED TO COUNTY. DATED TUNE 23-14 SOUNTS. DATED TUNE 23-14 SOUNTS.	
SEALES ENVELOPS WITH FIRST CLASS PREPAID POSTAGE AFFIXE THERETO, HAVING TURNED OVER TO A CORRECTIONAL OFFICER TO THERETO, HAVING TURNED OVER TO A CORRECTIONAL OFFICER OF LOGING AS LEGAL MAIL-TO-THE COURT OF ASSELLS OF BOT LOGING AS LEGAL MAIL-TO-THE COURT OF ASSELLS OF OFFICE OF WASHINGTON DIVISION & ONE UNION SQUARE GOO DIMILIFATITY STREET SEATTLE WA 98 101- 4170. AND TO ASSELLAND ATTORNEY MS MITCH-HARRISON AT HARRISON LAW FILM COLUMBRICAN ALE-N. SEATTLE-WA 98 109-4928 AND TO PROSECUTING Atty KING COUNTY PROSTARD AVENUE SEATTLE WA, 98 104. ALL DOCUMENT SENT ONSE BIG THIRD AVENUE SEATTLE WA, 98 104. ALL DOCUMENT SENT OF LEGAL MAIL ON 28 day OF June 2014 DID EDINGAD TIME-TT-LY DIME-TT-LY DIM	
THERETO, MANING TURNED OVER TO A CORRECTIONAL OFFICER OR STAFF (COUNSELOR ME MARC-HILLY EMPLOYED BY DOC FOR OF LOGGING AS LEGAL MAIL-TO-THE COURT OF APPEALS OF HE STATE OF WASHINGTON DIVISION 1 ONE UNION SQUARE GOO MININERSTY STREET SEATTLE WA 98 01-4170. AND TO APPELLANT ATTORNEY MI- MITCH-HARRISON AT HARRISON LAW FIRM LOLWARRED AUE-N. SEATTLE-WA 98 09-4928 AND TO PROSECUTIONS ATTY KING COUNTY PROS (APP UNIT SUPERVISOR-W SSY KING COUNTY COURT- OUSE 516 THIRD AVENUE SEATTLE, WA, 98 104. ALL DOCUMENT SEAT AS LEGAL MAIL ON 29 day OF JUNE 2014 DID ETONIGA.D SOUTH	로 하는 하게 되는 하게 되었다면 하게 되었다. 그는
DATED JUNE - 17-14 STAFF (COUNSEIOR MF MARC-HILL, SEMPLOYED BY DOC FOR POP OF LOGGING AS LEGAL MAIL-TO. THE COURT OF APPEALS OF HE STATE OF WASHINGTON DIUSSION & ONE UNION SQUARE GOO DIMINERSTY STREET SEATTLE WA. 98 101-4170. AND TO APPELLANT ATTORNEY MI. MITCH-HARRISON AT HARRISON LAW FILM COLUMBREN ALVE-N. SEATTLE-WA 98109-4928 AND TO PROSECUTING ATTY KING COUNTY PROS (APP UNIT SUPERVISOR-W SSY KING COUNTY COURT-OUSE 516 THIND ALVENUE SEATTLE, WA. 98104. ALL DOCUMENT SENT AS LEGAL MAIL ON 28 day of June 2014 DATED JUNE -27-14 DATED JUNE -27-14	
POF LOGGING AS LEGAL MAIL-TO. THE COURT OF APPEALS OF HE STATE OF WASHINGTON DIVISION & ONE UNION SQUARE GOO DIMINERSTLY STREET SEATTLE WA. 98 101- 4170. AND TO APPELLANT ATTORNEY MT. MITCH-HARRISON AT HARRISON LAW FILM COLWARREDN ALLE N. SEATTLE WA 98 109-4928 AND TO PROSECUTING ALTHY KING COUNTY Pros (APP UNIT SUPERVISOR WAS SENT COUNTY COURT— OUSE 516 THIRD AVENUE SEATTLE, WA, 98 104. ALL DOCUMENT SENT AS (EGAL MAIL ON 29 day OF JUNE 2014 DIMO-77-14 TOTAL STATE OF COUNTY O	
INDICESTRY STREET SEATTLE WA - 98 OI - 4170. AND TO APPELLANT ATTORNEY MT. MIRCH - HARRISON AT HARRISON LAW FILM (OL-WARREN) AVE - N. SEATTLE - WA 98 09 - 4928 AND TO PROSECUTING ATTY KING COUNTY Pros (APP UNIT SUPERVISOR - W SSY KING COUNTY COURT - OUSE 516 THIRD AVENUE SEATTLE, WA, 98 OY. ALL DOCUMENT SENT AS CEGAL MAIL ON 28 day OF June 2014 DID ETINGA. DATED JUNE - 23-14 STONKA. DATED JUNE - 23-14 STONKA.	@ OF LOGGING AS LEGAL MAIL-TO- THE COURT OF APPEALS OF
ATTORNEY ME - MITCH - HARRISON AT HARRISON LAW FILM COLUMBRAGEN AUE - N. SEATTLE - WA 98109 - 4978 AND TO PROSECUTING ATTY KING COUNTY PROS (APP UNIT SUPERVISOR - W SSY KING COUNTY COURT - OUSE 516 THIRD AVENUE SEATTLE, WA, 98104. ALL DOCUMENT SENT AS CEGAL MAIL ON 22 day of June 2014 DID ETINGA. D TONGA - D TO	4E STATE OF WASHINGTON DIVISION & ONE UNION SQUARE GOO
AUE - N. SEATTLE - WA 98109-4928 ANN TO PROSECUTING Atty KING COUNTY PROS (APP UNIT SUPERVISOR - W SSY KING COUNTY COURT- OUSE 516 THIRD AVENUE SEATTLE, WA, 98104. ALL DOCUMENT SENT AS CEGAL MAIL ON 23 day of June 2014 DID ETONIGA.D STONKA. DATED JUNE - 23-14 SOUNT - 27-14 BITTER JUNE - 27-14 BIT	INIVERSITY STREET SEATTLE WA - 98/01-4170 AND TO APPELLANT
COUNTY Pros APP UNIT SUPERUISOR-WSSY KING COUNTY COURT- OUSE 516 THIRD AVENUE SEATTLE, WA, 98104. ALL DOCUMENT SENT AS CEGAL MAIL ON 28 day OF JUNE 2014 DATED JUNE-27-14 DATED JUNE-27-14	ATTORNEY MT - MITCH - HARRISON AT HARRISON LAW FIRM LOL-WARREN
OUSE 516 THRO AVENUE SEATTLE, WA, 98104. ALL DOCUMENT SEATTLE WAY OF JUNE 2014 JOHNSON JUNE -27-14 DATES JUNE -27-14 DEPTH OF THE BOWN AND THE BO	QUE-N. SEATTLE-WA 98109-4928 AND TO PROSECUTING ALTY KING
AS CEGAL MAIL ON 27 day OF June 2014 DATED JUNE -27-14 STATE OF THE COURT OF THE	COUNTY Pros (APP UNIT SUPERUISOR - W 554 KING COUNTY COURT -
DIO EJONGA. DIONE - 23-14 DIONE - 27-14 STATE OF APPEALS ON APP	OUSE 516 THIRD AVENUE SEATTLE, WA, 98104. ALL DOCUMENT SENT
DATED JUNE -23-14 DATED JUNE -23-14 STATE OF A PPENIS OF A SHART	AS LEGAL MAIL ON 27 day OF June 2014
DATED JUNE -23-14 DETUCAL STATE OF A PPENALS OF A SHARE OF A SH	
COURT OF APPEALS DIVAS TON MAIL: 16	JOJO EJONGA.D
COURT OF APPEALS DIVAS TON MAIL: 16	
COURT OF APPEALS DIVAS TON MAIL: 16	Elovor.
COURT OF APPEALS DIVAS TON MAIL: 16	NATER TURE -73-14
COURT ON APPEALS DIV STATE ON VASAINGTON 2014 JUN 30 MAIL: 15	SILES
COURT ON APPEALS DIV STATE ON VASAINGTON 2014 JUN 30 MAIL: 15	- DEZINGA
COURT ON APPEALS DIV STATE ON VASAINGTON 2014 JUN 30 MAIL: 15	1ime-77-14
TATE OF APPEALS DIV	
30 MILS DIV	IO STAR
30 MILS DIV	
STATE ON SENT	\simeq
5 TO	✓ om-
	put

17 of 17

DECLARATION

EXIBT (A)

SUPERIOR COURT OF WASHINGTON FOR KING COUNTY

STATE OF WASHINGTON,)	
Plaintiff, NO. 11-1-05704-2 KmT	
V. ORDER CONTINUING TRIAL	1
Joio Eionga (ORCTD)	
Defendant.) (Clerk's Action Required)	
CCN)	,
This matter came before the court for consideration of a motion for continuance brought by plaintiff defendant the court. It is hereby ORDERED that the trial, currently set for	
Momnibus hearing date is	(
Expiration date is	
DONE IN OPEN COURT this 14 day of November 20/7	
Approved for entry:	1 5
Deputy Prosecuting Attorney WSBA No. Attorney for Defendant WSBA No. 1 agree to the continuance:	ve5"
 Defendant [signature required only for agreed continuance] 	-
I am fluent in the language, and I have translated this entire document for the defendant from English into that language. I certify under penalty of perjury under the laws of the State of Washington that the foregoing is true and correct.	
King County, Washington	
Interpreter	
Trial Continuance (Effective 1 September 2003)	
(Effective 1 September 2003)	

EXIDIT(2)A

1

2

3

4

5

6 7

- 7

8

9

- 10

11

12 13

14

15

16

17

18 19

20

21

22

23

24

IG COUNTY WASHINGTON

JAN 0 2 2013

BY: PAMELA ANZAI DEPUTY

SUPERIOR COURT OF WASHINGTON FOR KING COUNTY

)		
Plaintiff,)		
)		11-1-05704-2 KNT and inded information
)	AME	
(32))		
Defendant.)		
)) No.) Sec.) AME)

COUNTI

I, Daniel T. Satterberg, Prosecuting Attorney for King County in the name and by the authority of the State of Washington, do accuse JOJO D. EJONGA of the crime of Attempted Murder in the First Degree, committed as follows:

That the defendant JOJO D. EJONGA in King County, Washington, on or about May 8, 2011, with premeditated intent to cause the death of another person, did attempt to cause the death of Valerie Maganya, a human being; attempt as used in the above charge means that the defendant committed an act which was a substantial step towards the commission of the above described crime with the intent to commit that crime;

Contrary to RCW 9A.28.020 and RCW 9A.32.030(1)(a), and against the peace and dignity of the State of Washington.

And I, Daniel T. Satterberg, Prosecuting Attorney for King County in the name and by the authority of the State of Washington further do accuse the defendant JOJO D. EJONGA at said time of being armed with a deadly weapon, to-wit: a knife, under the authority of RCW 9.94A.825 and 9.94A.533(4).

ORIGINAL

AMENDED INFORMATION - 1

Daniel T. Satterberg, Prosecuting Attorney Norm Maleng Regional Justice Center 401 Fourth Avenue North Kent, Washington 98032-4429 Phone 206-205-7401 Fax 206-205-7475 Exibil(2) A

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

COUNT II

And I, Daniel T. Satterberg, Prosecuting Attorney aforesaid further do accuse JOJO D. EJONGA of the crime of Attempted Murder in the First Degree, a crime of the same or similar character as another crime charged herein, which crimes were part of a common scheme or plan and which crimes were so closely connected in respect to time, place and occasion that it would be difficult to separate proof of one charge from proof of the other, committed as follows:

That the defendant JOJO D. EJONGA in King County, Washington, on or about May 8, 2011, with premeditated intent to cause the death of another person, did attempt to cause the death of Estella Nyandwi, a human being; attempt as used in the above charge means that the defendant committed an act which was a substantial step towards the commission of the above described crime with the intent to commit that crime;

Contrary to RCW 9A.28.020 and RCW 9A.32.030(1)(a), and against the peace and dignity of the State of Washington.

And I, Daniel T. Satterberg, Prosecuting Attorney for King County in the name and by the authority of the State of Washington further do accuse the defendant JOJO D. EJONGA at said time of being armed with a deadly weapon, to-wit: a knife, under the authority of RCW 9.94A.825 and 9.94A.533(4).

COUNT III

And I, Daniel T. Satterberg, Prosecuting Attorney aforesaid further do accuse JOJO D. EJONGA of the crime of Attempted Murder in the First Degree, a crime of the same or similar character as another crime charged herein, which crimes were part of a common scheme or plan and which crimes were so closely connected in respect to time, place and occasion that it would be difficult to separate proof of one charge from proof of the other, committed as follows:

That the defendant JOJO D. EJONGA in King County, Washington, on or about May 8, 2011, with premeditated intent to cause the death of another person, did attempt to cause the death of Tuwalole Mwamba, a human being; attempt as used in the above charge means that the defendant committed an act which was a substantial step towards the commission of the above described crime with the intent to commit that crime;

Contrary to RCW 9A.28.020 and RCW 9A.32.030(1)(a), and against the peace and dignity of the State of Washington.

And I, Daniel T. Satterberg, Prosecuting Attorney for King County in the name and by the authority of the State of Washington further do accuse the defendant JOJO D. EJONGA at said time of being armed with a deadly weapon, to-wit: a knife, under the authority of RCW 9.94A.825 and 9.94A.533(4).

AMENDED INFORMATION - 2

Daniel T. Satterberg, Prosecuting Attorney Norm Maleng Regional Justice Center 401 Fourth Avenue North Kent, Washington 98032-4429 Phone 206-205-7401 Fax 206-205-7475

3

6

5

8

9

7

10

11 12

13

14

15 16

17

18

19

20

21

23

22

24

And I, Daniel T. Satterberg, Prosecuting Attorney for King County in the name and by the authority of the State of Washington further do accuse the defendant JOJO D. EJONGA of committing the current offense, which is a violent offense, knowing that the victim of the current offense was pregnant, under the authority of RCW 9.94A.535(3)(c).

COUNT IV

And I, Daniel T. Satterberg, Prosecuting Attorney aforesaid further do accuse JOJO D. EJONGA of the crime of Assault in the First Degree, a crime of the same or similar character as another crime charged herein, which crimes were part of a common scheme or plan and which crimes were so closely connected in respect to time, place and occasion that it would be difficult to separate proof of one charge from proof of the other, committed as follows:

That the defendant JOJO D. EJONGA in King County, Washington, on or about May 8, 2011, with intent to inflict great bodily harm, did assault Valerie Maganya, with a deadly weapon and force and means likely to produce great bodily harm or death, to-wit: a knife, and did inflict great bodily harm upon Valerie Maganya;

Contrary to RCW 9A.36.011(1)(a), (c), and against the peace and dignity of the State of Washington.

And I, Daniel T. Satterberg, Prosecuting Attorney for King County in the name and by the authority of the State of Washington further do accuse the defendant JOJO D. EJONGA at said time of being armed with a deadly weapon, to-wit: a knife, under the authority of RCW 9.94A.825 and 9.94A.533(4).

COUNT V

And I, Daniel T. Satterberg, Prosecuting Attorney aforesaid further do accuse JOJO D. EJONGA of the crime of Assault in the First Degree, a crime of the same or similar character as another crime charged herein, which crimes were part of a common scheme or plan and which crimes were so closely connected in respect to time, place and occasion that it would be difficult to separate proof of one charge from proof of the other, committed as follows:

That the defendant JOJO D. EJONGA in King County, Washington, on or about May 8, 2011, with intent to inflict great bodily harm, did assault Estella Nyandwi with a deadly weapon and force and means likely to produce great bodily harm or death, to-wit: a knife, and did inflict great bodily harm upon Estella Nyandwi;

Contrary to RCW 9A.36:011(1)(a), (c), and against the peace and dignity of the State of Washington.

And I, Daniel T. Satterberg, Prosecuting Attorney for King County in the name and by the authority of the State of Washington further do accuse the defendant JOJO D. EJONGA at said time of being armed with a deadly weapon, to-wit: a knife, under the authority of RCW 9.94A.825 and 9.94A.533(4).

AMENDED INFORMATION - 3

Daniel T. Satterberg, Prosecuting Attorney Norm Maleng Regional Justice Center 401 Fourth Avenue North Kent, Washington 98032-4429 Phone 206-205-7401 Fax 206-205-7475 EXISTER) B

SUPERIOR COURT OF WASHINGTON FOR KING COUNTY

THE STATE OF WAS	HINGTON,)	W	
		Plaintiff,)		
v.		¥) N	o. 11-1-0)5704-2 KNT
JOJO D. EJONGA,		12)) IN	FORMATI	ON
	20.00) .		
	¥.)		3 - 5
		Defendant.	j		
		The second second second			

COUNT I

I, Daniel T. Satterberg, Prosecuting Attorney for King County in the name and by the authority of the State of Washington, do accuse JOJO D. EJONGA of the crime of Assault in the First Degree, committed as follows:

That the defendant JOJO D. EJONGA in King County, Washington, on or about May 8, 2011, with intent to inflict great bodily harm, did assault Valerie Maganya, with a deadly weapon and force and means likely to produce great bodily harm or death, to-wit: a knife, and did inflict great bodily harm upon Valerie Maganya;

Contrary to RCW 9A.36.011(1)(a), (c), and against the peace and dignity of the State of Washington.

And I, Daniel T. Satterberg, Prosecuting Attorney for King County in the name and by the authority of the State of Washington further do accuse the defendant JOJO D. EJONGA at said time of being armed with a deadly weapon, to-wit: a knife, under the authority of RCW 9.94A.825 and 9.94A.533(4).

COUNT II

And I, Daniel T. Satterberg, Prosecuting Attorney aforesaid further do accuse JOJO D. EJONGA of the crime of **Assault in the First Degree**, a crime of the same or similar character as another crime charged herein, which crimes were part of a common scheme or plan and which

Daniel T. Satterberg, Prosecuting Attorney W554 King County Courthouse 516 Third Avenue Seattle, Washington 98104 (206) 296-9000, FAX (206) 296-0955 Exibil(2)6

1

2

4

5

6

7

8

10

9

11

12 13

14

15

16 17

18

19

20.

22

23

24

24

crimes were so closely connected in respect to time, place and occasion that it would be difficult to separate proof of one charge from proof of the other, committed as follows:

That the defendant JOJO D. EJONGA in King County, Washington, on or about May 8, 2011, with intent to inflict great bodily harm, did assault Estella Nyandwi with a deadly weapon and force and means likely to produce great bodily harm or death, to-wit: a knife, and did inflict great bodily harm upon Estella Nyandwi;

Contrary to RCW 9A.36.011(1)(a), (c), and against the peace and dignity of the State of Washington.

And I, Daniel T. Satterberg, Prosecuting Attorney for King County in the name and by the authority of the State of Washington further do accuse the defendant JOJO D. EJONGA at said time of being armed with a deadly weapon, to-wit: a knife, under the authority of RCW 9.94A.825 and 9.94A.533(4).

COUNT III

And I, Daniel T. Satterberg, Prosecuting Attorney aforesaid further do accuse JOJO D. EJONGA of the crime of Assault in the First Degree, a crime of the same or similar character as another crime charged herein, which crimes were part of a common scheme or plan and which crimes were so closely connected in respect to time, place and occasion that it would be difficult to separate proof of one charge from proof of the other, committed as follows:

That the defendant JOJO D. EJONGA in King County, Washington, on or about May 8, 2011, with intent to inflict great bodily harm, did assault Tuwalole Mwamba, with a deadly weapon and force and means likely to produce great bodily harm or death, to-wit: a knife, and did inflict great bodily harm upon Twalole Mwamba,

Contrary to RCW 9A.36.011(1)(a), (c), and against the peace and dignity of the State of Washington.

And I, Daniel T. Satterberg, Prosecuting Attorney for King County in the name and by the authority of the State of Washington further do accuse the defendant JOJO D. EJONGA at said time of being armed with a deadly weapon, to-wit: a knife, under the authority of RCW 9.94A.825 and 9.94A.533(4).

DANIEL T.	SATTERBERG
Prosecuting	Attorney

By:	ž
Donald J. Raz	, WSBA #17287
Senior Deputy	Prosecuting Attorney

Daniel T. Satterberg, Prosecuting Attorney W554 King County Courthouse 516 Third Avenue Seattle, Washington 98104 (206) 296-9000, FAX (206) 296-0955

RECEIVED

EXIP (1/3)

DEC 04 2012

DANIEL T. SATTERBERG
PROSECUTING ATTORNEY
CRIMINAL DIVISION
MALENG REGIONAL JUSTICE CENTER

IN THE KING COUNTY SUPERIOR COURT FOR THE STATE OF WASHINGTON

STATE OF WASHINGTON,

NO. 11-1-05704-2 KNT

Plaintiff,

DEFENDANT'S TRIAL MEMO

v.

JOJO EJONGA,

Defendant.

I. INTRODUCTION

Jojo Ejonga comes before the Court for trial on three counts of attempted murder in the first degree and three counts of assault in the first degree, all with deadly weapon enhancements. The incident giving rise to the charge occurred on May 8, 2011. Mr. Ejonga has been in custody since that time. Current counsel was assigned in October of 2011. The defenses are general denial, insanity, and diminished capacity.

The defense expects to present the testimony of Jerome Kroll, MD, at trial. Accompanying this memorandum are copies of his report and CV. The defense may also present testimony from Mr. Ejonga's mother, Alembe Lihau, and the

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26



defense investigator, Alyssa Boland. The defense reserves the opportunity to call any witnesses referenced in the discovery who are not called by the State. Mr. Ejonga has no criminal convictions. Thus, there are no ER 609 issues.

II. BACKGROUND

A. Personal History

Jojo Ejonga was born and raised in the Democratic Republic of Congo (DRC). He was born to a two-parent family, and has two full siblings. His father worked as a bodyguard for President Mobuto, and his mother worked in law enforcement/national guard. After Mobuto's ouster and Laurent Kabila's assent to power, Mr. Ejonga's father was sent away for re-education, and apparently poisoned to death. Concerned for her own safety, Mr. Ejonga's mother, Alembe Lihau, quit her job, and began to work out of the home as a tailor. Around 2005, Ms. Lihau was arrested while attending a church service with her infant grand-son. Former colleagues helped her escape from jail and out of the country with the infant. Mr. Ejonga and his siblings were left behind. It was a time of great civil unrest and violence. Mr. Ejonga witnessed much of this, and was himself assaulted by a soldier, who hit him in the head with a rifle butt. Mr. Ejonga's siblings disappeared. Mr. Ejonga was eventually helped to escape from DRC and join his mother and nephew to Nigeria. They spent about five years in Nigeria, living in poverty. In February of 2010, they came to the United States as refugees. Prior to

his arrest, Mr. Ejonga lived with his mother and nephew in an apartment in Kent. He was employed for a seafood company and later for Macy's. He took ESL class at Highline Community College.

B. Medical and Mental Health History

Mr. Ejonga suffered from malaria as an infant. He was hospitalized, and given IV fluids in his head. When Mr. Ejonga was about eight years old, he and his brother were playing together in a second story bedroom. Mr. Ejonga fell out of the window, landing face down on the ground. He was unconscious and hospitalized for some time. Mr. Ejonga has a visible scar on his forehead from the fall. His mother reports that he was never the same after the fall.

Ms. Lihau noticed that Mr. Ejonga's behavior was unusual in the period leading up to his arrest. She noted that he was agitated, and would stay on the computer all night.

Since his arrest, Mr. Ejonga has displayed symptoms of mental illness in the jail. Jail Health Services records indicate that his "active problems" include Post Traumatic Stress Disorder (PTSD), Delusional Disorder, and Mood Disorder. He has been prescribed a number of psychiatric medications, including Abilify, an anti-psychotic; Lithium, often used to treat mood disorders; Prazosin, often used to treat PTSD; and Sertraline, an antidepressant and antianxiety medication.

C. Forensic Psychiatric Evaluations

Mr. Ejonga was evaluated by Jerome Kroll, MD. Dr. Kroll is the Chief Psychiatrist for the Refugee Mental Health Program of the Community-University Health Care Clinic in Minneapolis, Minnesota. His clinical program serves the refugee population of Minneapolis, which has the largest population of African refugees in the United States. A copy of his CV accompanies this memo. Dr. Kroll has treated many African refugees. In 2011, he wrote an original paper entitled "Psychosis, PTSD and depression in Somali Refugees in Minnesota" that was published in Social Psychiatry and Psychiatric Epidemiology. This paper discusses the study of 600 Somali refugees seen in his clinic between 2001 and 2009. He has published other writings pertaining to PTSD.

In his report dated May 14, 2012, Dr. Kroll diagnosed Mr. Ejonga with PTSD, Delusional Disorder with delusional mood, and Mood Disorder secondary to traumatic brain injury. He concluded that "[i]t is my opinion to a reasonable degree of medical certainty that Jojo Ejonga suffered from a delusional mood that precipitated into a delusional perception on the night of his offense." He further opined, "[w]hen in this delusional state, Jojo Ejonga did not have the ability to know right from wrong or to form a criminal intent."

Mr. Ejonga also submitted to a forensic evaluation by the State's expert, Mark McClung, MD. Dr. McClung concluded that Mr. Ejonga was malingering, although EXIBIT (57)

he did acknowledge "Probable Post-traumatic stress disorder." His conclusion was based in part on a test he administered called the Structured Interview of Reported Symptoms (SIRS).



events in Nigeria that shaded into a delusional system, with the development of a delusional disorder such that the lines between reality and delusion became blurred in a teen-ager's mind; or a total fabrication representing an attempt at malingering.

HOW ARE WE TO ASSESS THIS FORMULATION

EKIBT (4)

- 1. The main question is whether Jojo suffered from a delusional mood that crystallized into a delusional perception that he was about to be attacked and murdered by terrorists. The prelude to this formulation are the three brain insults sustained by Jojo that had a cumulative effect of increasing his susceptibility to psychiatric illness; the violence, threats and chaos that he experienced in Kinshasa after 1997; the murder of his father around this time; and the unregulated life of a refugee teenager living in Lagos, Nigeria, a place in which al Qaeda was known to have a strong presence.
- 2. Delusional mood is best described as a mood characterized by perplexity concerning an awareness that something is going on around the person of an uncomfortable, sinister, or threatening nature which he cannot quite grasp or understand. The world appears to be changed but the person cannot quite describe in what way. Usually the meaning of the delusional mood becomes obvious when a sudden delusional idea or a delusional perception occurs, thus making delusional sense of the vague and perplexing anxiety that had been present. (Fish, 1974; Fuchs, 2005; Jaspers, 1963).
- 3. In the Kent Correctional Facility, Jojo was noted to be confused; he states he was hearing voices. A social worker commented that he appears to be having his first psychotic break. Jojo was diagnosed with Delusional Disorder while at Kent Correctional Facility on December 1, 2011 by Barbara Krzyzek, ARNP (Advanced Registered Nurse Practioner). Jojo was started on an antipsychotic medication, Abilify, at 5 mg daily. Jojo had already been taking the antidepressant Sertraline (Zoloft) up to 100 mg daily. Jojo reported that me that Abilify has been helpful in clearing up his thinking and blocking his auditory hallucinations.

- 1 Q. (By Ms. Holmes:) Have you found that page yet?
- 2 A. Yes.
- 3 Q. Okay. Maybe you know all this from memory, but I'm just
- going to have you have it handy.
- 5 A. That's not likely.
- 6 Q. Okay. When Mr. Ejonga was first in the jail, he was on the
- 7 psyche unit?
- 8 A. Right.
- 9 Q. And then he was later moved to general population?
- 10 A. I believe that's true.
- 11 Q. Okay. And there were probably a couple of inches, at least,
- of jail health services records?
- 13 A. Yes.
- 14 Q. And you basically summarized things that you thought might be
- of some significance?
- 16 A. Right.
- \swarrow 17 Q. Now, when Mr. Ejonga was first in jail on May 9th of 2011,
 - initially he stated he might be suicidal?
 - 19 A. Right.
- \checkmark 20 Q. And then later he denied it?
 - 21 A. Yes.
 - 22 Q. And I want to back up a minute, you mentioned that sometimes
 - people who are mentally ill deny their symptoms?
 - 24 A. Yes.
 - 25 Q. So just because someone denies they're suicidal doesn't mean

RP- 43/2013 EX1517-#55

- 1 A. Yes.
- 2 Q. And he was unable to follow through with the PTSD
- 3 questioning?
- 4 A. Right.
- √5 Q. On June -- pardon me, on May 12th of 2011, there was a code
 - blue in the jail with reference to Mr. Ejonga?
 - 7 A. Right.
 - 8 Q. And that's a medical code?
 - 9 A. Right.
- 10 Q. Witnesses reported that he had fallen and wasn't moving?
- 11 A. Yes.
- 12 Q. And he was found face down and not responsive to verbal cues?
- 13 A. Yes.
- 14 Q. And in fact, he didn't respond to moderate pain?
- 15 A. Right.
- 16 Q. His speech was slow and unclear?
- X17 A. Yes.
 - 18 Q. And he was taken to Harborview to rule out a head injury?
 - 19 A. Yes.
 - 20 Q. And you reviewed those Harborview records as well?
 - 21 A. Right.
 - 22 Q. And when he was seen at Harborview on May 12th of 2011, he
 - reported that he had chronic stomach pain, worked up in the
 - Congo and the U.S., episodes of fainting with these stomach
 - 25 pains in the past?

RP-1/23/2013 EXISIT-#69

- 1 A. That's how I understood it.
- 2 Q. At that point, he continued to endorse flashbacks?
- 3 A. Yes.
- Q. And he also reported that his hand sometimes hurts from a chip in his hand?
 - 6 A. Right.
 - 7 Q. And he said, maybe they gave me PCP?
 - 8 A. Right.
- 9 Q. And the impression of the psychiatric nurse practitioner who
 10 saw him was paranoia, appears illogical at times, engaged in
 11 interview, frustrated?
- 12 A. Those were her observations from the mental status exam.
- Q. Okay. And her diagnosis was post-traumatic stress disorder and rule out delusional and mood disorder?
- 15 A. Right.
- Q. And having a chip implanted in a part of one's body is a pretty common delusion, isn't it?
 - 18 A. Yes.
 - 19 Q. Mr. Ejonga sometime around that time was started on Abilify?
 - 20 A. Right.
 - 21 Q. And that's an anti-psychotic medication?
 - 22 A. Yes.
 - Q. It's also used to treat mood disorders and post-traumatic
 - 24 stress disorder?
 - 25 A. Right.

N 12/20/2012 EXIBIT 6 April

- 1 Q. Okay. Have you reviewed the evaluation of Dr. McClung in this case?
- 3 A. Yes, I have.
- 4 Q. Have you reviewed his notes?
- 5 A. Yes, I have.
- 6 Q. Have you reviewed the raw data or interview booklet used by
 7 Dr. McClung to score the SIRS test?
- 8 A. Yes, several times.
- 9 Q. Okay. And have you reviewed the transcript of the defense interview with Dr. McClung?
- 11 A. Yes, I have.
- 12 Q. Do you have any concerns about how the SIRS was administered in this case?
 - 14 A. Well, I have many concerns.
 - 15 Q. And can you tell us what those are?
 - 16 A. Yes. There are two areas of concern.

One is that the test was administered incorrectly or improperly by Dr. McClung having to explain the meanings of certain terms. And in a sense, the concern is that this would give the subject a cue as to how he should answer it.

And the -- Richard Rogers, the psychologist who designed the test, very specifically wrote in his book about the SIRS test that -- I can quote, the key difference between a structured and semi-structured interview is that structured interviews do not allow clinicians their own clinical inquiries to

¥25

19

20

21

22

23

24

Marci E.C. Chatelain, CCR, RPR, CRR - Official Court Reporter

A18

clarify a patient's responses. The rationale for restricting the SIRS to a structured interview is the concern that the wording or tone of certain idiosyncratic questions may express incredulity, disbelief, or some other pejorative response, any of which is likely to alter the patient's presentation.

So my one concern is that the test was not administered correctly, and therefore it's not valid and should not, could not be used either in a court of law or even in a regular clinical assessment at a clinic.

- Q. And -- yes. The quote you were just reading us, is that from Dr. Rogers, the developer of the SIRS test?
- A. Yes. It's in his second edition, and it's 1998, and in his third edition, 2008. And the third edition, it is on page 314.
- 16 Q. Okay. And the third edition, what's the title of the book?
 - A. Clinical Assessment of Malingering and Deception, Third Edition. It's edited by Richard Rogers.
 - Q. Now, along the lines of your concerns about improper administration of the test, would providing the answer choices inconsistently be a concern to you?
 - A. Oh, very much so. We have no idea -- it's kind of cuing in the subject as to what the examiner thinks the question means, and it may not be how he might have interpreted otherwise. It interferes with a free and open answer. Not

Marci E.C. Chatelain, CCR, RPR, CRR - Official Court Reporter

purposefully, it just creates a bias. And that's why one does a standardized questionnaire.

The MMPI, for example, would be a standardized questionnaire. The person reads the questions and answers. The examiner just sits there to make sure someone doesn't speak on a cell phone or something, but you're not to interrupt or interfere with the questions.

Q. Okay.

X15

- 9 A. So I had two concerns about the SIRS, that was one of them,

 10 the way it was administered.
- 11 Q. And what was your other concern, Doctor?
 - A. Well, the other concern, it is just not normed or validated on a African population. This was developed in the United States, in Texas. But since then, other psychologists have studied other populations within America. So these were initially white and African-American populations. There is now a version for a Latino or Hispanic population. But it is not normed, and I'll explain what I mean by that.
- 19 Q. Please.
 - A. For instance, norm -- norming somthing, or getting normative evaluation on a new test, we need to do that because we don't know how a particular subject's responses are the same or differ from a sample of his peers within his own country, so we don't know how 100 or 200 Congolese young men would respond to each of the items, and how the items would fit

Marci E.C. Chatelain, CCR, RPR, CRR - Official Court Reporter

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

into the scale, the scale that has been developed in the SIRS.

So you just cannot use it on a -- on an African population, on an Asian population, until it's been translated -- translated back and used in the population as a research design, and then we have some idea of what the questions mean.

We don't know that Mr. Ejonga's response to a question should go into a malingering scale because, for all we know, 90 out of a 100 Congolese young men would answer that question true and they wouldn't be malingering, that's how they -- that's what their world experience is.

Questions such as, are trees alive, or do trees have spirits, and other questions, do bugs do spying or surveillance, we might see that as very -- as an abnormal answer or fake answer in the United States and that's not how it would be in other populations.

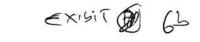
- Okay. And what about -- can you explain what you mean by translating back?
- Well, there are really strict rules for an adequate translating of a test into another language, so you get a group of five or ten people, psychologists, other people who translate the SIRS, we'll take that example, from English into Lingala, and they would sit in a room and talk and come out with the best translation for each of the items, all 172,

and that would then be given to a different group of persons who also are fluent in Lingala and English, and have them translate the Lingala translation back into English because sometimes you find great surprises that you wouldn't have thought of.

So the back translation has been coincided -- back translation into English has to coincide with the original English, and then you know that you have a valid translation. And then you can begin to test that -- that test on the native population, whether it's African, Asian, some other culture.

Now, the SIRS has not been translated into languages other than Spanish.

- Q. And even if it had been translated, then there's still the norming process on top of that; is that correct?
- A. Oh, right, just translating it is -- translating it -- the prerequisite is the beginning, then you have to take that test and administer to a large enough population of different kinds of people, mental patients, normal subjects, maybe medical patients, maybe persons in jail in their country, forensic patients possibly. It's very extensive. It's a three, four year process, which is why it's not done too frequently. But if you want to use the test properly, then that's the work that has to be done. You can't just take an American language test, try it out in another country and

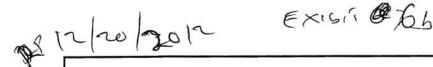


assume that when we say, are trees alive, or do trees know things that they understand, that they have the same premises that we have.

- Q. Well, what about Dr. McClung had indicated that there were a number of questions that he simply didn't include in his scoring because he thought they may have cultural implications, does that cure the problem?
- A. No, that's an example of the problem. I think Dr. McClung was trying to make sense out of it, but, in fact, it's not his choice or anyone's choice to throw questions out or to answer what he thinks the person probably meant or what his -- what Dr. McClung's understanding of Congo culture is to change the answer from a yes to a no. That test has to be thrown out in terms of its usefulness.

Even in a clinical assessment, not in a court of law, but certainly when the stakes are as high as a person's guilt, innocence, or mental state, then the test -- it's just misleading and it just should not be used.

- Q. Given the circumstances under which the test was administered in this case, are the results scientifically reliable?
- 21 A. Absolutely not.
- Q. And would it be reasonable to rely upon the results in a forensic context in this case?
 - A. It would not be reasonable. It would be decidedly unfair and should not be done.



Q. Okay. And would the principles and methodology used in the administration of the SIRS in this case, the way it was administered in this case, be generally accepted in the scientific community?

- A. Not on a Congo population, no, if I understood you correctly.
- Q. And what about would the principles and methodology used in the administration of the SIRS in this case, that is providing alternate wordings and cues on the answer choices, would that be generally accepted in the scientific community?
- A. No. The basic principle is the test is invalid and no valid conclusions can be drawn from it. It just cannot -- it would not be accepted.

And I'm making this point that the SIRS is not a forensic test, it's a test for malingering or feigning illness or symptoms. And it can't be used in any context in a population for which it was not normed and validated.

- Q. What about just -- would it be reasonable to just rely on the answers, not scoring it, but just to provide information in the case?
- A. Absolutely not, because we don't know if particular answers are still misunderstood or answered in terms of Congolese way of thinking about things or understanding.

And the test has -- individual test items have absolutely no validity whatsoever, no usefulness. The test has to be used and the items have to go into scales that have been

RR 12/20 /2012 EXIBITE 66

developed; otherwise, it's the same as just asking questions 1 and which you end up with a clinical opinion, which is okay, 2 but then you cannot call it a psychological test. 3 longer the SIRS test, you're just pulling out individual 4 items and say, well, he said this to this and he said this to 5 No, no test has validity when you start pulling items 6 7 out. 8 MS. HOLMES: Okay. Thank you, Doctor. That's all my questions. 9 Now Mr. Bales may have some questions for you. 10 Ready, your Honor? 11 MR. BALES: 12 THE COURT: Yes. 13 MR. BALES: Good morning, Dr. Kroll. 14 DR. KROLL: Good morning, Mr. Bales. MR. BALES: I have a few questions for you. 15 CROSS-EXAMINATION 16 BY MR. BALES: 17 Just starting out, forensic psychiatry is not your specialty 18 or focus, correct? 19 That is correct. 20 Α. And you're not a forensic psychologist? 21 22 That is correct. And did you ever do any kind of fellowship in forensic 23 psychology? 24 25 No, sir. A.

Marci E.C. Chatelain, CCR, RPR, CRR - Official Court Reporter

22

23

24

25

26

populations from DRC.



EXIBITAT6c

B. Motion to Exclude Evidence of the SIRS Test Dr. McClung administered the Structured Interview of Reported Symptoms (SIRS) test as part of his forensic evaluation. This test has not been normed on

The SIRS is a series of 172 questions designed to assess feigning and related The key difference between a structured and semistructured response styles. interview is that structured interviews do not allow clinicians their own clinical inquiries to clarify a patient's responses. The rational for restricting the SIRS to a structured interview is the concern that the wording or tone of certain idiosyncratic questions may express incredulity, disbelief, or some other pejorative response any of which is likely to alter the patient's presentation.

There are also fatal flaws in the way the SIRS was administered in this case. Dr. McClung did not administer the test verbatim. At times, he offered additional information, such as alternate words or explanations, to clarify the questions. Sometimes, but not always, he repeated the answer choices. He excluded some answers based on his determination that the answers might reflect cultural beliefs, rather than psychiatric symptoms.

The defense moves to exclude all testimony and evidence related to this test based on ER 401, 402, 403, 702 and 703. The test is not valid under the

EXIBITH 60

circumstances administered. Yet jurors may place great stock in it because it is perceived as quantifiable, scorable, scientific. The danger of unfair prejudice outweighs any limited relevance of this test. Furthermore, while the SIRS test itself may be something that professionals reasonably rely on, it is not something that experts would reasonably rely on when administered to a person from a population for whom it is not normed, and when administered in a non-standard way.

of a test, is that any different than you creating your own questions?

A. Well, yes, of course it's different because I'm asking the person questions about his life, his experience, et cetera.

Applying a test is giving questions that were specifically designed to get at particular points when I have no idea if the points they were supposed to get to are expressed properly for that person or that person's background.

So the point is, it's misleading. I wouldn't know what to make of the answers. So the answer is -- do trees have spirits, I -- so he says yes, he says no, I don't know what to make of it.

There's about 100 tests out there on malingering that have been used, and so why would one pick one test rather than another? It is just not a valid way to go about an assessment. And then worst of all, it's probably misleading.

- Q. Well, didn't you, in fact, during Ms. Holmes' questions say that if you're just using it as a tool, for instance, asking questions and getting responses, that that's something that would be okay?
- A. Well, it wouldn't. That's a different question. You asked me what I'd do and I say I wouldn't -- I would never use an instrument on a subject, you know, cross-culturally that's not been normed.

If Dr. McClung wants to do it, that's his basis, but then

+ 16

X 13

when he uses it as a score to say, well, this score is in the probable malingering range, I say that's invalid. So why would I want to use it in the first place?

I can't tell other people what to do in their interview,

I'm just telling you that the test is not valid.

- Q. And again, that seems to be the main focus is the fact that it has a scale and the score?
- A. Well, that is the purpose of the instrument, yes.

If he wants to ask specific questions and then write his write-up, well, when I asked this question, Mr. Ejonga said such and such, that's his choice to do. And then it has to stand or fall on its credibility and how it fits into the rest. I'm not about to tell him how to do an interview.

- Q. So as part of an interview, that could be just a normal process that might be acceptable, but, again, it's the score and the -- and the scale that causes an issue?
- A. No. I think you're stretching -- you're stretching -- I
 don't know what you mean by acceptable. Why on earth would
 anyone want to ask a series of questions that are part of a
 test that are strange questions.

If he's designing it to get at malingering, the test is not valid. If he's not, I don't see where the questions are helpful.

I'm just trying to say that each person can conduct their assessment as they wish. It's not for me to tell him not to

X 14

+ 21

- X 23

do that.

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

But I don't even know what you mean by acceptable. Depends what use he makes of the questions.

- Well, if you were very -- if you happen to be very familiar with the test and how it works and what certain responses mean, wouldn't it be -- potentially be helpful to listen to somebody's responses and then judge whether or not some of those responses might be cultural? Wouldn't you have to do that anyway if you were just asking individual questions?
- Well, I don't know how many other persons from the Congo Dr. McClung has given this test to.

So when you ask a question, then you're supposed to be deriving some information from the answer, but I don't know how you can derive information from this answer to this question when you don't know what it means to a person from the Congo, so that's why I keep going back to it's misleading.

If you want to ask the question, he can, and if he wants to write it up, well, in response to this question he answered such and such, but if he goes, therefore I conclude, then I think that's questionable, and probably it should not be considered valid and should not be considered usable.

- And are you familiar that the SIRS also has been validated for German?
- Well, I know that, yes.

I just -- I wrote to Professor Rogers to find out if it had been translated into any of the African languages. And he wrote back saying, no, it hasn't been. And I think that he mentioned that -- I'm trying to see.

He says, it's not in the African language. There's an authorized Spanish version, and translated, but not validated, in Mandarin, Spanish, and Dutch. Not validated. Now, that e-mail came yesterday.

So I would say that it may be translated to German, but -- and to the extent that German culture is similar to our culture, then one could try it out. But in order for it to really work, you would have to test it out on a German population, so...

- Q. So you said somebody -- somebody could try it out and use that as, for instance, a reference?
- A. Well, trying it out on a single subject is not the point, trying it out on 100 patients, 100 persons in prison, 100 persons simulating illness, 100 persons with medical illnesses would be the relevant way to go, not just to say, well, it's translated into German so we'll give it to someone. You can do that, but then you don't know that the results are valid, and so you need to do it on 99 -- or turn in 99 more persons.
- Q. And it's also been validated in the clinical community in corrections populations, correct?

- 1 A. Yes, in America. We're not attacking the SIRS.
- 2 Q. Right.

19

3 A. I have no basis to do that.

12/20/2012 EXIBIT

- Q. And that would include white, black, and Hispanic individuals?
- A. Yes. I assume -- one could select from a population, but I think it has been normed in a black population and a Latino population. Then one would have to know whether the Latino population was raised in America, English speaking, raised in, let's say, Mexico, and just came here two years ago.
- 11 There might -- there might be some problems.
- Q. And I'm just going to touch on this again, but would you agree that even if you didn't rely on the actual score of the SIRS, a person's response to interview questions, for instance, how they reacted, their thought process, a whole host of other things, might give an evaluator valuable information?
 - MS. HOLMES: I'm going to object, asked and answered.
- 20 THE COURT: Overruled.
- A. The way a person responds to any question that you give is
 useful -- can possibly be useful information in your
 assessment. So in that sense, there's nothing special about
 the individual items from the SIRS, as opposed to other
 questions that might be asked. It might be valuable, but

it's not valuable because it comes from the SIRS, and it cannot be used in the sense of saying, well, therefore this is malingering because it's an item within the SIRS.

So any question in the person's response, whether he cries, he laughs, he makes up a wild story, can be useful, but not because it happened to have come from that test.

- Q. (By Mr. Bales:) And then you would just call that -obviously, that portion part of his, what, would it be
 clinical interview?
- A. Well, you would have to include that as part of his clinical interview. But I'm saying that the SIRS in a Congo person is not a valid test that should be allowed in court, that's what I'm saying.

Again, pulling out individual items is okay if you wanted to ask it, you could do the same with the MMPI, but it has nothing do with the MMPI.

- Q. So you just wouldn't call it doing an MMPI with a score on the MMPI?
- A. Well, for instance, the MMPI might have a question, I think I am being stalked by my enemies. That's an okay question to ask. But you can't just say, well, it's one out of five of the 20 questions in the MMPI and therefore leads to a paranoid scale. That's true, but you can't pull a question out and use it in such a way as reflecting the MMPI. It becomes an independent question at that point.

```
EXIBIT #
```

Okay. Correct. 1

LR 1420/2012

- And you wouldn't have to say, well, you can't ask that 2 question. 3
- Oh, I would certainly never say such a thing like that. 4
- MR. BALES: Okay. Actually, I have no further 5 questions. 6
- 7 Thank you very much.
- DR. KROLL: You're very welcome, Mr. Bales. 8
- 9 THE COURT: Thank you, Mr. Bales.
- 10 Any redirect questions?
- MS. HOLMES: Just briefly, your Honor. 11
- REDIRECT EXAMINATION 12
- 13 BY MS. HOLMES:
- Dr. Kroll, this is Juanita Holmes again. 14
- 15 A. Yes.
- So you exchanged e-mails with Dr. Rogers, the developer of 16
- 17 the SIRS test? .
- Yes. I just wrote him an e-mail probably a month ago. It 18
- took a second prompting. 19
- Okay. 20 Q.
- 21 I'm just saying, I'm asking you whether this test has been
- 22 translated, validated in other cultural areas, such as Africa
- or Asia. 23
- And he indicated that there were no translations in the 24
- 25 African languages?

```
Yes. I can quote, "Dear Professor Kroll, There are no
 1
        translations of the SIRS" --
 2
                   MR. BALES: Your Honor, I'm going to object to
 3
        e-mails from other persons that aren't even testifying here
 4
        today.
 5
                   MS. HOLMES: Dr. Kroll, there's been an objection.
 6
        Sorry. Hold on.
 7
                   THE COURT: Doctor, if you can just hang on.
 8
 9
           Mr. Bales?
                   MR. BALES: Your Honor, he can state whether or
10
11
        not he understands if there's any other -- there are
        interpretations of this particular test, but I think reading
12
        e-mails sent back and forth between some other individual,
13
        obviously, is hearsay. That individual's not here to
14
        testify.
15
           But if she wants to ask the doctor what his understanding
16
        is, I guess I would have no objection to that.
17
                   MS. HOLMES: I can rephrase the question, your
18
19
       Honor.
                   THE COURT: I'm going to sustain the objection.
20
           So, Ms. Holmes, if you can reask your question, please.
21
        (By Ms. Holmes:) So, Dr. Kroll, without reading the e-mail
22
       from Professor Rogers --
23
24
   A.
       Yes.
        -- was it your understanding that it has not been translated
25
```

Marci E.C. Chatelain, CCR, RPR, CRR - Official Court Reporter

```
or normed on African populations?
 1
 2
        Yes, it is my understanding.
   A.
            I've also done, you know, a computer search of the SIRS in
 3
        translation and there are none.
 4
 5
   Q.
        Okay.
        There's no reference to it in the psychology literature.
 6
   Α.
        Okay.
    0.
        We can leave Professor Rogers out of it.
 9
                   MS. HOLMES: Okay. Thank you.
           Nothing further.
10
           The Court may have a question.
11
                   THE COURT: Mr. Bales.
12
                   MR. BALES: I have no further questions, your
13
14
        Honor.
                               Okay. Dr. Kroll, thank you for your
                   THE COURT:
15
               I don't think I have any questions for you.
16
           Any reason that we can't let the doctor go at this point?
17
                   MS. HOLMES: No, your Honor.
18
                   MR. BALES: No, your Honor.
19
                   THE COURT: Okay. Thank you, Dr. Kroll.
20
       going to disconnect you at this point.
21
                   DR. KROLL: Thank you very much, Judge.
22
23
           Bye-bye.
                                           (Off the record.)
24
```

MR. BALES:

I have my next witness available.

25

did you rely on in making your assessment regarding 1 2 malingering? 3 Basically, the entirety of information that I had available, Α. 4 the entirety of my two interviews with Mr. Ejonga, the 5 collateral interviews, information from other people who knew 6 him, and the discovery information, which included both witness interviews, medical -- some medical records related 7 to Mr. Ejonga, prior criminal records, and the criminal 8 9 investigation of this case, as well as my general knowledge 10 and experience on assessment and observation of malingering and exaggeration. 11 And again, it sounds like you've done at least 1500 --12 possibly 1500 of these evaluations? 13 14 Yes. MR. BALES: Your Honor, I have no further 15 questions. 16 THE COURT: Thank you. 17 18 And, Ms. Holmes, cross-examination. 19 MS. HOLMES: Thank you. Good morning, Dr. McClung. 20 21 DR. McCLUNG: Good morning. 22 CROSS-EXAMINATION 23 BY MS. HOLMES: You administered the SIRS, not the SIRS-2; is that correct? Yes.

Marci E.C. Chatelain, CCR, RPR, CRR - Official Court Reporter

- 1 Q. Okay. And SIRS-2 was published in 2010?
- 2 A. Yes.
- \checkmark 3 Q. And the SIRS that you administered is published in 1992?
- √4 A. Right.
 - 5 Q. Okay. And that test comes in the form -- written form called
 - an interview booklet?
 - 7 A. Yes.
 - 8 Q. And there are 172 questions on the test?
 - 9 A. I believe that's right.
- 10 Q. And after each question, there are a set number of answer
- 11 options?
- 12 A. Yes.
- 13 Q. Okay. And the answer options are not the same throughout the
- 14 test?
- 15 A. That's right.
- 16 Q. The answer choices were not read to Mr. Ejonga after each
- 17 question, correct?
- 18 A. That's correct.
- 19 Q. Sometimes they were read to him, sometimes not?
- 20 A. They were not read after each question.
- 21 Q. Okay. They were read to him on an as-needed basis?
- 22 A. They were read to him at the beginning of each section where
- that set of answer choices was -- was to be used.
- 24 Q. Okay. And if it appeared that he was given an answer where
- it was unclear how the answer fit into the choices given,

- 1 cultural factors, yes.
- Q. And for example, you didn't use the rhyming words or antonyms?
- 4 A. Correct.
- Q. Okay. So all together, there were seven questions that you either didn't include in the scoring or you scored them differently --
- 8 A. Yes.
- 9 Q. -- based on that?

 10 Okay. Now, the SIRS, it's a structured interview?
 - 11 A. Correct.
- 12 Q. And you said that the guidelines allow for some minor explication?
- 14 A. Yes.
- 15 Q. Okay. And did you provide the guidelines to Dr. Kroll as part of our discovery request?
- 17 A. No.
- 18 Q. Okay. That's because they're proprietary?
- £19 A. Yes.
 - Q. Okay. So, basically, we're not allowed to see that unless we pay for the test?
 - 22 A. If Dr. Kroll has received training in the test or has
 23 purchased the test, he could receive the guidelines for the
 24 test, too.
- 25 Q. And that's not something you can just provide to the Court?

And, Ms. Holmes, any --

Well, let me ask this, Mr. Bales, any additional evidence that you wanted to put on for this hearing?

MR. BALES: No, your Honor.

THE COURT: Okay. Ms. Holmes, just regarding argument on this issue.

MS. HOLMES: Your Honor, I'm asking the Court to exclude any reference to the SIRS test in the trial, including any reference to the test being administered or the test being scored.

And the reason for that is because -- twofold. One is from the testimony of Dr. Kroll, and to a certain extent from the testimony of Dr. McClung, it's evident it hasn't been normed on African populations. And then there's a question about what the meaning of the answers and the scoring mean. And so you can't necessarily conclude from the scoring information that -- to compare it to when you don't have it compared to a population similar to Mr. Ejonga who came here from the DRC in 2010. So that's one problem.

And the other problem articulated by Dr. Kroll is that there were problems in its administration. It is a structured interview of reported symptoms. According to the quote that he read from Dr. Rogers, in the book that Dr. Rogers wrote on malingering, it's intended to be administered as written, and that there are problems when it's not

Marci E.C. Chatelain, CCR, RPR, CRR - Official Court Reporter

administered as written because it could interject bias on the part of the evaluator.

And that quote from Dr. Rogers' report is also included in Dr. Kroll's declaration, which was previously provided to the Court.

Now, Dr. McClung has indicated that there are some -- that the guidelines for administration of the tests allow for some minor explication. I have a problem with this because we don't know, really, what those guidelines say. It's proprietary information. He hasn't shared it with me. He hasn't shared it with Dr. Kroll. He's indicated he can't even share it with the Court because of their agreement with the developers of the test.

I don't see how the Court can, you know, assess what's permitted without being able to see that. And who's to determine what's a minor explication versus an explication that would warp the results of the test.

And also, I mean, the test he gave was the SIRS 1992. He admitted that there's now a SIRS-2 that was published in 2010, so he didn't even give the most up-to-date version of the test.

But given the two primary problems that it's not normed on an African population, that it wasn't administered as given, and that the scoring was changed subjectively based on Dr. McClung's decision that certain questions should not be

that is Dr. Kroll is very specific and says this test is structured, it only can be administered one way; whereas, Dr. McClung is clear that based on the guidelines that he has referenced, that he has given at least some latitude to explain, for example, terminology. So I think there's somewhat of a dispute there.

Where I think there is absolutely no dispute is the issue of the norming of the tests. And it's very clear that this test has not been normed for a Congolese population. And in Dr. McClung's own testimony, he indicated that the test not being normed or validated for this particular population, that in itself can raise a serious question about the scoring based on the cultural issues.

Because of that, I believe under Evidence Rule 703 that the specific testing of Mr. Ejonga and the administration of the SIRS test to Mr. Ejonga I think is not information that is reasonably relied upon by experts in this field.

And I want to be real specific, the actual administration of the tests and the numerical scoring is information that I don't believe should be reasonably relied upon by experts in this field.

With that said, under ER 403, I think making reference to the actual SIRS tests, administration of the SIRS tests, or numerical scoring, or results of the SIRS tests I think would be unduly prejudicial to Mr. Ejonga and would outweigh any

probative value that that testimony has.

So I want to be very specific because I went back and took a look at Dr. McClung's report that Mr. Bales provided to the Court. And this is on page 12 down towards the bottom, he describes the SIRS tests. He describes, essentially, how he administered the tests, but just his conclusions are as follows:

On the SIRS, Mr. Ejonga had several subskills that were elevated in the probable range for malingering. His test result profile with its combination of elevated scores is characteristic of individuals who are feigning a mental disorder and is rarely seen in clients responding truthfully regarding psychiatric symptoms.

So, again, I want to be very specific, I am not going to allow Dr. McClung to give that specific testimony that I just noted.

So the fact that he administered the SIRS and any results regarding scales, subscales, test result profiles and/or comparison to others, because I think that's what the issue is with the norming, that's the concern that the Court has and, obviously, Ms. Holmes has raised, that testimony is absolutely excluded.

Now, he does make reference -- let's see, I tabbed a few other places. In his -- on page 15 of his report, forensic issues, mental state at the time of the crime, Dr. McClung

scored a certain way because of potential cultural implications, it takes away any possibility of the scientifically valid conclusion to be drawn from the score.

And so as Dr. Kroll indicated, it's not something that in this context can be reasonably relied upon and is not specifically reliable and would not be generally acceptable as administered in the scientific community.

So I, for those reasons, would ask the Court to exclude any reference to the SIRS test being given or the scoring of the SIRS test.

And the other thing that I think is important to note is this is a case where our defense is a mental defense, the State's rebuttal to that is malingering, so it's a serious issue in this case. And we already know just from going through one round of juror questionnaires, jurors are already hostile to mental defenses.

THE COURT: And/or from the first round of jury questions, we had folks that perhaps were sympathetic to mental health issues.

MS. HOLMES: I think we had one person who is.

I'm sure that person would have been the recipient of a peremptory challenge.

THE COURT: And vice versa.

MS. HOLMES: Yeah.

And I think it's common knowledge these are unpopular

the SIRS test himself. He had never been familiar with the SIRS test until this case came about. And he looked at it and then started doing some reading. So he is not familiar necessarily with the details of that particular test. Dr. McClung, on the other hand, again, has done numerous, over a thousand, forensic evaluations. He has used this test in the past. He had indicated, I believe, somewhere in the neighborhood of 20 -- 25 times he's used this particular test. He's familiar with the questions in the test. He evaluates individuals' responses, et cetera.

According to Dr. McClung, in the guidelines he is allowed to do minor adjustments within that test. And he did make some clarifications.

It's interesting -- and I know that these are standard tests, but it's interesting that one of the defense's arguments is that the defendant might have understood the questions too well, that if he -- because their argument is if he was asked a question and he was puzzled or unsure, by the expert doing a minor clarification so that he ensured that he understood a question that somehow makes the test bad because the defendant may have actually understood that particular question. I do not feel that that somehow kind of skews the results in this particular test.

Dr. McClung agrees that this test has not been validated for individuals from the Congo, but he also has specifically

√ 24 √\25

Marci E.C. Chatelain, CCR, RPR, CRR - Official Court Reporter